



AFA SOS MENTORING PROGRAM

ASPIRANT APPLICATION FORM

1. PERSONAL DETAILS

Name:

Organisation:

Position held: OWNER OR EMPLOYEE

2. CONTACT DETAILS

Mailing Address:

City: State: Postcode:

Phone: Mobile:

Email:

Are you currently a member of the AFA? Yes No

3. PROFESSIONAL EXPERIENCE

Please provide us with a brief description of your professional experience including the areas of advice you cover and your current qualifications:

Please provide a brief description of what you are looking for in a Mentor:

What is the main area in which you would like support from a mentor: (E.g. closing techniques; confidence; conducting reviews; business management etc)

OFFICE USE ONLY

Entered to State Register by:	<input type="text"/>	Date entered:	<input type="text"/>
Terms of Participation provided:	<input type="text"/>	Returned:	<input type="text"/>
Name of match:	<input type="text"/>	Match introduced:	<input type="text"/>



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TERMS OF PARTICIPATION

Dear Aspirant,

Congratulations on your decision to participate in the Association of Financial Adviser's SOS Mentoring Program.

The focus of this program is to **bridge the gap in knowledge, skills, experience and networks** between the experienced advice professionals and newer entrants to the world of financial planning. You will note that we are not being prescriptive with regard to the manner in which the Mentor and Aspirant decide to engage. You can reach your own agreement on whether face-to-face, telephone, Skype email or other means work well for you. We do however suggest that, in order to gain the full benefits of the mentoring program, an appropriate amount of involvement would be a time commitment of about an hour a month. We also suggest that you establish a dialogue opportunity at least monthly as regular contact (even if brief) has shown to offer the greatest benefits.

After 3 months in the program, we will ask you for your initial **feedback** to gauge your progress with the program. Then, after the official completion of your **12 month** match, we will ask you to complete a feedback **questionnaire** which will assist us to evaluate and refine the SOS Program to make it increasingly more effective.

Please note that not all matches will work. Where it becomes apparent that the mentor and aspirant are not compatible, we ask that you inform your State Coordinator so that a new arrangement can be made. However, it is not necessary that the mentor and aspirant see eye-to-eye on all matters as much can be gained from respectful debate over contentious issues. Remember to keep an open and enquiring mind as you build your relationship.

It is also important to note that the Mentor is not there to offer product, technical or compliance training. Nor should the Mentor provide advice that the Aspirant should rely on in advising their clients. The information flow is to be treated as of a general nature only. The Mentor has no legal liability as to the discussions held with the Aspirant – the relationship is one of peer-to-peer. With this in mind, each participant should maintain an inferred duty of care to not deliberately mislead one another.

Finally, it is not in the spirit of the SOS Program to poach or coerce a participant for personal gain. By signing these **Terms of Participation** you are agreeing to this undertaking and agree to exercise the highest possible morality within the intent of the SOS Program.

Below are the guidelines for your involvement and we ask that you sign these Terms of Participation and return them to us to signify your intention to honour the spirit of the SOS Program.

- To be honest and respectful
- To facilitate and contribute to open communication
- To provide and receive positive guidance
- To respect confidentiality
- To honour the trust shown
- To always act with integrity

**Please email or fax this form
to your SOS State Coordinator**

or to the AFA at:

**Email: info@afa.asn.au
Fax: (02) 9267 5003**

Signed:

Date: / /