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The 2007 National AFA Conference will Keep a Focus on Technical and Investment Issues

AFA CEO, Richard Klipin, acknowledges that this year's AFA National Conference is expanding its topic range to meet technological/emerging issues while still keeping a focus on mainstream technical and investment matter.

"The Conference will continue to stay close to our roots of practical education for our members. The best source of 'real world' knowledge comes from practitioners and the professionals advising and regulating advisers and AFSL management," said Richard Klipin.

Technical specialist, Peter Cashel, from Paragem Partners, will speak at the Conference on how to take the compliance risk out of an adviser's business.

"There are licensees and advisers who are not concerned about compliance and then there are licensees and advisers who place too much emphasis on compliance. There is a middle ground.

"I will be discussing what is expected of licensees and advisers when providing financial services:

- What is a relevant compliance regime?
- When is enough, really enough?," said Peter Cashel, Paragem Partners.

Lawyer Christina Kalantzis from the Argyle Partnership will speak on the subject of keeping on the right side of the law. Christina is 146 compliant and has worked for financial planning businesses. She has a practical and commercial focus and will seek to demystify the myths about compliance.

Her presentation will be a practical insight as to what really happens when compliance goes wrong and will provide attendees with;

1. An overview of recent legal issues
2. Replacement product problems
3. Adviser bannings
4. The importance of file notes

5. Westpoint and Fincorp
6. Practical tips on how to protect your business and clients

And this year, the Conference will hear again from the regulators via Darren Williams from ASIC. ASIC has worked closely with the industry to improve standards and inform industry practitioners of their responsibilities.

More information – please call Richard Klipin at the AFA. ...ends...

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